

Express Mail Mailing Label No.: EE591448466US Dated: June 30, 2004

PATENT

Docket No.: 160325.01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	: Chaudhuri et al.	) Examiner:
Serial No.	: 09/759,804	) Marcin R. Filipczyk
Filed	: January 12, 2001	) Art Unit: ) <b>2171</b>
For	: SAMPLING FOR QUERIES	RECEIVED
Customer No.	: 38991	) ) ) JUL 0 6 2004
Confirmation N	o. : <b>2731</b>	Technology Center 2100

## **INFORMATION DISCLOSURE STATEMENT ("IDS")**

## Mail Stop RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request consideration of the references listed on the attached form PTO/SB/08A and submitted herewith in compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Applicants note that U.S. Serial No. \_\_\_\_\_\_ (Attorney Docket Nos. 141502.02/1-23012), filed June 22, 2004 by Chaudhuri et al., entitled "DATABASE QUERY RESULT ESTIMATOR" ("Chaudhuri et al. application"), has been listed on the attached form PTO/SB/08A. The Chaudhuri et al. application is related to the above-identified patent application and a complete copy is being submitted with this IDS. Further, a copy of the pending claims that were submitted in a preliminary amendment filed concurrently with the Chaudhuri et al. application on June 22, 2004 is included along with the copy of the Chaudhuri et al. application submitted with this IDS.

Express Mail Mailing Label No.: EE591448466US Dated: June 30, 2004

Since this IDS is being filed concurrently with the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, it is believed no fees are required. If any fees are required, however, the Commissioner is hereby authorized to charge the required fees, or credit any overpayments, to Deposit Account No. 50-0463.

Respectfully submitted,

MICROSOFT CORPORATION

Date: <u>June 30, 2004</u>

By

John Campa

Reg. No. 49,014

Direct Tel. No. (425) 706-0731

JUN 30 2004 S

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitut	e for form 1	449/PTO					Complete	if Kno	)wn			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Num	Application Number Filing Date First Named Inventor		09/759,804						
						January 12, 2001 Surajit Chaudhuri				ĺ		
	(use as n	any sneets	as necesso	iry)	Art Unit			217	1			
					Examiner Name			Ma	rcin ]	R. Filipczyk		
Sheet		1	Of	1	Attorney Docket	Numbe	er	160	325.0	 )1		
					Express Mail Lal	bel Nun	nber	EE5	91448	466US		
					U.S. PATENT DOC	UMEN'	TS					İ
Examiner Initials	Cite No. <sup>1</sup>		S. Patent Do		Publication Date MM-DD-YYYY		Name of Pat Applicant of Cite		nent	Pages, Columns, Lin Relevant Passages o Figures Appe	Relevant	
		US-20	02012	4001 A1	09-05-2002		CHAUDHU	JRI et	al.			
		US-20	02012	7529 A1	09-12-2002		CASSUTO	et al.				
		US-										
		<u> </u>		F	OREIGN PATENT DO	OCUM:	ENTS					
Examiner Cite Initials* No. 1		Fo	reign Paten	Document  Kind Code <sup>5</sup>	Publication Date Code <sup>5</sup> MM-DD-YYYY				Releva	s, Columns, Lines, Where vant Passages or Relevant Figures Appear		
		Country C	ode <sup>3</sup> Numb		55	Aμ	ppiream of Ched Document			Tiguto Appea		
	•											
	.1	<u> </u>										
		_	(	THER PRIOR	ART – NON PATENT L	ITERA7	TURE DOCUMEN	T\$				
Examiner Initials*	Cite No.				hor (in CAPITAL LETTER ournal, serial, symposium, c publisher, city and/or c	atalog, e	tc.)., date, page(s),				T <sup>2</sup>	
		U.S. Se	rial No	).	(Attorney Docket)	Nos. 1	41502.02/1-2	3012)	with	pending 🗀 🗀	CE	MED
		claims,	filed J	une 22, 200	4 by CHAUDHUR	I et al.						
		BARN	ETT et	al., Table o	f Contents, "Outlie	rs in S	tatistical Data	ı," Jol	nn W	iley, 3rd	(JL 0	6 2004
		Edition									ا ا	
										lechn	dlogy (	enter 210
		CHATI (1984).		, Table of C	ontents, "The Anal	ysis of	f Time Series,	" Cha	ipmar	and Hall		
			•		tents, "Identification					***		
				Table of Co er Verlag (1	ntents, "Temporal 1 988).	Databa	ases: Research	and	Pract	ice LNCS		
		GRAY	et al.,	Table of Cor	ntents, "Transaction	n Proc	essor: Concep	ots an	d Tec	hniques,"		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Morgan Kaufmann (1993).

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

## Microsoft Corporation Certificate of Filing of Correspondence by Express Mail Under 37 C.F.R. § 1.10

Express Mail Mailing Label No.: EE591448466US

**Docket No.:** 

160325.01

I hereby certify that the attached:

Information Disclosure Statement, including:

Form PTO/SB/08A

■ 8 References

is being deposited with the United States Postal Service (USPS) as correspondence to be delivered by the "Express Mail Post Office to Addressee" service of the USPS on the date indicated below with sufficient postage in an envelope bearing the above-noted Express Mail mailing label number and addressed to:

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Jue 30, 2001)
Date

John Campa